

On the equivalence checking problem for deterministic top-down tree automata

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A *top-down finite tree automaton* [1] (FTA) is a tuple $\mathcal{A} = (Q, \mathcal{F}, \Delta)$, where Q is a finite set of control states, \mathcal{F} is a finite nonempty set of functional symbols, and Δ is a set of transition rules of the following type:

$$\delta(q, f) : q(f(x_1, \dots, x_n)) \rightarrow f(q_1(x_1), \dots, q_n(x_n)) \quad (1)$$

where $f \in \mathcal{F}_n$, and $q, q_1, \dots, q_n \in Q$. An FTA \mathcal{A} is called *deterministic* (DFTA) if there are no two rules in Δ with the same left-hand side.

FTAs operate on terms — finite trees whose nodes are marked with symbols in \mathcal{F} . An FTA \mathcal{A} *accepts* a term t in a state $q \in Q$ when it starts its run at the root of the tree in a state q and finally applies terminating rules $q(c)$ *toc*, where $c \in \mathcal{F}_0$ at all leaves of t . A set of all terms accepted by \mathcal{A} in a state q is a tree language $L(\mathcal{A}, q)$. We study the equivalence checking problem for deterministic FTAs: given a DFTA \mathcal{A} and a pair of states q', q'' check if $L(\mathcal{A}, q') = L(\mathcal{A}, q'')$.

Our algorithm checks the equivalence of two states q' and q'' in \mathcal{A} by constructing a system of equations modeling the equality of tree languages $L(\mathcal{A}, q') = L(\mathcal{A}, q'')$ [2,3]. To this end we associate a variable X_q with each state $q \in Q$ of \mathcal{A} , and a term $t_\delta = f(X_{q_1}, \dots, X_{q_k})$ with every transition rule $\delta(q, f)$ of the form (1). The system of equations \mathcal{E}_0 required for our purpose is as follows:

$$\mathcal{E}_0 = \{X_q = \sum_{\delta \in \Delta(\mathcal{A}, q)} t_\delta : q \in Q\} \cup \{X_{q'} = X_{q''}\}.$$

The algorithm iteratively solves the system of equations \mathcal{E}_0 ; each iteration i consists of four steps:

- 1) Termination: if \mathcal{E}_i has no equations of the form $X_{q'} = X_{q''}$ then the algorithm terminates.
- 2) Substitution: if \mathcal{E}_i has an equation of the form $X_{q'} = X_{q''}$ then remove the equation and in the case $q' \neq q''$ replace all occurrences of $X_{q'}$ with $X_{q''}$.
- 3) Conflict: if two equations with the same left-hand side do not have the same functional symbols in their right-hand sides then a conflict is detected.
- 4) Restoration: For each pair of equations $X_q = f(X_{q_1}, \dots, X_{q_k})$ and $X_q = f(X_{p_1}, \dots, X_{p_k})$, add equations $X_{q_1} = X_{p_1}, \dots, X_{q_k} = X_{p_k}$, and remove one of the equations.

Repeat the above steps until termination (output True) or conflict detection (output False).

Теорема 1. *The equivalence checking algorithm defined above always terminates and correctly identifies the equivalence of q' and q'' in $O(n^2)$ time, where n is the number of states of \mathcal{A} .*

References

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